

# On Strongly Regular Graphs, Friendship, and the Shannon Capacity

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## Graph Spectrum

Throughout this presentation,

- $G = (V(G), E(G))$  is a finite, undirected, and simple graph of order  $|V(G)| = n$  and size  $|E(G)| = m$ .
- $\mathbf{A} = \mathbf{A}(G)$  is the *adjacency matrix* of the graph.
- The eigenvalues of  $\mathbf{A}$  are given in decreasing order by

$$\lambda_{\max}(G) = \lambda_1(G) \geq \lambda_2(G) \geq \dots \geq \lambda_n(G) = \lambda_{\min}(G). \quad (1.1)$$

- The *spectrum* of  $G$  is a multiset that consists of all the eigenvalues of  $\mathbf{A}$ , including their multiplicities.

# Orthogonal Representation of Graphs

## Definition 1.1

Let  $G$  be a finite, undirected and simple graph.

An **orthogonal representation** of  $G$  in  $\mathbb{R}^d$

$$i \in V(G) \mapsto \mathbf{u}_i \in \mathbb{R}^d$$

such that

$$\mathbf{u}_i^T \mathbf{u}_j = 0, \quad \forall \{i, j\} \notin E(G).$$

An **orthonormal representation** of  $G$ :  $\|\mathbf{u}_i\| = 1$  for all  $i \in V(G)$ .

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In an orthogonal representation of a graph  $G$ :

- non-adjacent vertices: mapped to orthogonal vectors;
- adjacent vertices: not necessarily mapped to non-orthogonal vectors.

## Lovász $\vartheta$ -function

Let  $G$  be a finite, undirected and simple graph.

The **Lovász  $\vartheta$ -function of  $G$**  is defined as

$$\vartheta(G) \triangleq \min_{\mathbf{u}, \mathbf{c}} \max_{i \in V(G)} \frac{1}{(\mathbf{c}^T \mathbf{u}_i)^2}, \quad (1.2)$$

where the minimum is taken over

- all orthonormal representations  $\{\mathbf{u}_i : i \in V(G)\}$  of  $G$ , and
- all unit vectors  $\mathbf{c}$ .

The unit vector  $\mathbf{c}$  is called the *handle* of the orthonormal representation.

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$$|\mathbf{c}^T \mathbf{u}_i| \leq \|\mathbf{c}\| \|\mathbf{u}_i\| = 1 \implies \vartheta(G) \geq 1,$$

with equality if and only if  $G$  is a complete graph.

# An Orthonormal Representation of a Pentagon

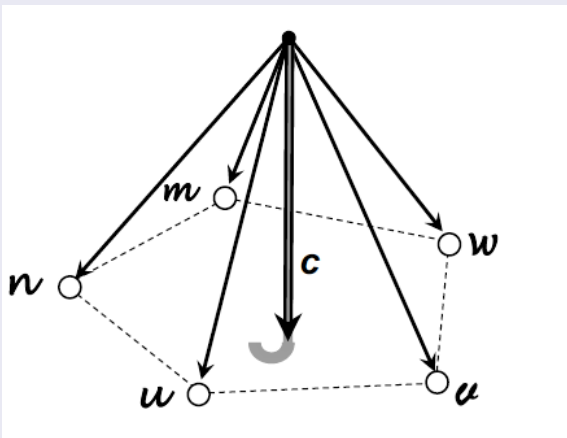
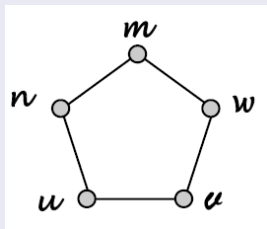


Figure 1: A 5-cycle graph and its orthonormal representation (also known as Lovász umbrella). Calculation shows that  $\vartheta(C_5) = \sqrt{5}$  (Lovász, 1979).

## Lovász $\vartheta$ -function (Cont.)

- $\mathbf{A}$  is the  $n \times n$  adjacency matrix of  $G$  ( $n \triangleq |V(G)|$ );
- $\mathbf{J}_n$  is the all-ones  $n \times n$  matrix;
- $\mathcal{S}_+^n$  is the set of all  $n \times n$  positive semidefinite matrices.

Semidefinite program (SDP), with strong duality, for computing  $\vartheta(G)$ :

$$\begin{array}{l} \text{maximize } \text{Trace}(\mathbf{B} \mathbf{J}_n) \\ \text{subject to} \\ \left\{ \begin{array}{l} \mathbf{B} \in \mathcal{S}_+^n, \text{ Trace}(\mathbf{B}) = 1, \\ A_{i,j} = 1 \Rightarrow B_{i,j} = 0, \quad i, j \in [n]. \end{array} \right. \end{array}$$

**Computational complexity:**  $\exists$  algorithm (based on the ellipsoid method) that numerically computes  $\vartheta(G)$ , for every graph  $G$ , with precision of  $r$  decimal digits, and polynomial-time in  $n$  and  $r$ .



## Lovász $\vartheta$ -function (Cont.)

Let  $\alpha(G)$ ,  $\omega(G)$ , and  $\chi(G)$  denote the independence number, clique number, and chromatic number of a graph  $G$ . Then,

① Sandwich theorem:

$$\alpha(G) \leq \vartheta(G) \leq \chi(\overline{G}), \quad (1.3)$$

$$\omega(G) \leq \vartheta(\overline{G}) \leq \chi(G). \quad (1.4)$$

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② **Computational complexity:**

- ▶  $\alpha(G)$ ,  $\omega(G)$ , and  $\chi(G)$  are NP-hard problems.
- ▶ However, the numerical computation of  $\vartheta(G)$  is in general feasible by convex optimization (SDP problem).

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③ **Hoffman-Lovász inequality:** Let  $G$  be  $d$ -regular of order  $n$ . Then,

$$\vartheta(G) \leq -\frac{n \lambda_n(G)}{d - \lambda_n(G)}, \quad (1.5)$$

with equality if  $G$  is edge-transitive.

## Strongly Regular Graphs

Let  $G$  be a  $d$ -regular graph of order  $n$ . It is a *strongly regular graph* (SRG) if there exist nonnegative integers  $\lambda$  and  $\mu$  such that

- Every pair of adjacent vertices have exactly  $\lambda$  common neighbors;
- Every pair of distinct and non-adjacent vertices have exactly  $\mu$  common neighbors.

Such a strongly regular graph is denoted by  $\text{srg}(n, d, \lambda, \mu)$ .

## Theorem 1.2 (Bounds on Lovász function of Regular Graphs, I.S., '23)

Let  $G$  be a  $d$ -regular graph of order  $n$ , which is a non-complete and non-empty graph. Then, the following bounds hold for the Lovász  $\vartheta$ -function of  $G$  and its complement  $\bar{G}$ :

1)

$$\frac{n - d + \lambda_2(G)}{1 + \lambda_2(G)} \leq \vartheta(G) \leq -\frac{n\lambda_n(G)}{d - \lambda_n(G)}. \quad (1.6)$$

- Equality holds in the leftmost inequality if  $\bar{G}$  is both vertex-transitive and edge-transitive, or if  $G$  is a strongly regular graph;
- Equality holds in the rightmost inequality if  $G$  is edge-transitive, or if  $G$  is a strongly regular graph.

2)

$$1 - \frac{d}{\lambda_n(\mathbf{G})} \leq \vartheta(\overline{\mathbf{G}}) \leq \frac{n(1 + \lambda_2(\mathbf{G}))}{n - d + \lambda_2(\mathbf{G})}. \quad (1.7)$$

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## Cont. of Theorem 1.2

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## A Common Sufficient Condition

All inequalities hold with equality if  $\mathbf{G}$  is strongly regular. (Recall that the graph  $\mathbf{G}$  is strongly regular if and only if  $\overline{\mathbf{G}}$  is so).

## Lovász Function of Strongly Regular Graphs (I.S., '23)

Let  $G$  be a strongly regular graph with parameters  $\text{srg}(n, d, \lambda, \mu)$ . Then,

$$\vartheta(G) = \frac{n(t + \mu - \lambda)}{2d + t + \mu - \lambda}, \quad (1.8)$$

$$\vartheta(\overline{G}) = 1 + \frac{2d}{t + \mu - \lambda}, \quad (1.9)$$

where

$$t \triangleq \sqrt{(\mu - \lambda)^2 + 4(d - \mu)}. \quad (1.10)$$



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## New Relation for Strongly Regular Graphs

$$\vartheta(G) \vartheta(\overline{G}) = n, \quad (1.11)$$

holding not only for all vertex-transitive graphs (Lovász '79), but also for all strongly regular graphs (that are not necessarily vertex-transitive).

We next provide an original proof of the following celebrated theorem by Erdős, Rényi and Sós (1966), based on our expression for the Lovász  $\vartheta$ -function of strongly regular graphs (and their complements, which are also strongly regular graphs).

### Theorem 1.3 (Friendship Theorem)

Let  $G$  be a finite graph in which any two distinct vertices have a single common neighbor. Then,  $G$  has a vertex that is adjacent to every other vertex.

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### A Human Interpretation of Theorem 1.3

- There is a party with  $n$  people, where every two people have precisely one common friend in that party.
- Theorem 1.3 asserts that one of these people is everybody's friend.
- Indeed, construct a graph whose vertices represent the  $n$  people, and every two vertices are adjacent if and only if they represent two friends. The claim then follows from Theorem 1.3.

## Remark 1 (On the Friendship Theorem - Theorem 1.3)

- The windmill graph (see Figure 2) has the desired property, and it turns out to be the only one graph with that property.
- Remarkably, the friendship theorem does not hold for infinite graphs. Indeed, for an inductive construction of a counterexample, one may start with a 5-cycle  $C_5$ , and repeatedly add a common neighbor for every pair of vertices that does not yet have one. This process results in a countably infinite friendship graph without a vertex adjacent to all other vertices.

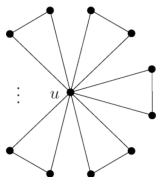


Figure 2: Windmill graph.

## Alternative Proof of Theorem 1.3 (Cont.)

Suppose the assertion is false, and  $G$  is a counterexample. In other words, there exists one vertex in  $G$  that is not adjacent to all other vertices.

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The first step shows that the graph  $G$  is regular, as proved by Aigner and Ziegler, *Proofs from THE BOOK, 6th Edition, Chapter 44*. We provide a variation of that proof, and then the rest of our proof proceeds differently

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- The given hypothesis yields that  $G$  is a connected graph.

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- The given hypothesis yields that  $G$  is a connected graph.
- Let  $\{u, v\} \notin E(G)$ , and let  $\mathcal{N}(u)$  and  $\mathcal{N}(v)$  denote, respectively, the sets of neighbors of the nonadjacent vertices  $u$  and  $v$ .



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- Let  $\{u, v\} \notin E(G)$ , and let  $\mathcal{N}(u)$  and  $\mathcal{N}(v)$  denote, respectively, the sets of neighbors of the nonadjacent vertices  $u$  and  $v$ .
- Let  $f: \mathcal{N}(u) \rightarrow \mathcal{N}(v)$  be the injective function where every  $x \in \mathcal{N}(u)$  is mapped to the unique  $y \in \mathcal{N}(x) \cap \mathcal{N}(v)$ . Indeed, if  $z \in \mathcal{N}(u) \setminus \{x\}$  satisfies  $f(z) = y$ , then  $x$  and  $z$  share two common neighbors (namely,  $y$  and  $u$ ), which contradicts the assumption of the theorem.

## Alternative Proof of Theorem 1.3 (Cont.)

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- By symmetry, swapping  $u$  and  $v$  (as nonadjacent vertices) also yields  $|\mathcal{N}(v)| \leq |\mathcal{N}(u)|$ , so  $d(u) = |\mathcal{N}(u)| = |\mathcal{N}(v)| = d(v)$  for all vertices  $u, v \in V(G)$  such that  $\{u, v\} \notin E(G)$ .

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- To complete the proof that  $G$  is regular, let  $u$  and  $v$  be nonadjacent vertices in  $G$ . By assumption, except of one vertex, all vertices are either nonadjacent to  $u$  or  $v$ . Hence, except of that vertex, all these vertices must have identical degrees by what we already proved.

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- Finally, by our further assumption (later leading to a contradiction), since there is no vertex in  $G$  that is adjacent to all other vertices, also the single vertex that is adjacent to  $u$  and  $v$  has a nonneighbor in  $G$ , so it also should have an identical degree to all the degrees of the other vertices by what is proved above.

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- Consequently,  $G$  is a regular graph.

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- Let  $G$  be a  $k$ -regular graph on  $n$  vertices. By assumption, every two vertices have exactly one common neighbor, so  $G$  is  $\text{srg}(n, k, 1, 1)$ .



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- First, if  $k = 1$  or  $k = 2$ , then by assumption, it follows that  $G = K_1$  or  $G = K_2$ , respectively, leading to a contradiction. Hence, let  $k \geq 3$ .

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- Every two adjacent vertices in  $G$  share a common neighbor, so  $G$  contains a triangle. Moreover,  $G$  is  $C_4$ -free since every two vertices have exactly one common neighbor, so it is  $K_4$ -free. Hence,  $\omega(G) = 3$ .

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- We next show that  $\chi(G) = 3$ . First,  $\chi(G) \geq \omega(G) = 3$ . We also need to show that  $\chi(G) \leq 3$ , which means that three colors suffice to color the vertices of  $G$  in a way that no two adjacent vertices are assigned the same color. This can be done recursively by noticing that every edge belongs to exactly one triangle, and a newly colored vertex always complete a properly colored triangle, ensuring that at each step, the coloring remains valid without requiring a fourth color.

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- By the sandwich theorem  $\omega(\mathbf{G}) \leq \vartheta(\overline{\mathbf{G}}) \leq \chi(\mathbf{G})$ , so  $\vartheta(\overline{\mathbf{G}}) = 3$ .

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$$\vartheta(\overline{G}) = 1 + \frac{k}{\sqrt{k-1}}.$$

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- This leads to a contradiction since, for all  $k \geq 3$ ,

$$(k-2)^2 > 0,$$

$$\Leftrightarrow k^2 > 4(k-1),$$

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This completes the proof of the friendship theorem (Theorem 1.3).

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I. Sason, "On strongly regular graphs and the friendship theorem," submitted, February 2025. <https://arxiv.org/abs/2502.13596>



## A Second Alternative Proof of Theorem 1.3

From the point where we get, by contradiction, that  $G$  is  $\text{srg}(n, k, 1, 1)$ , it is possible to get a contradiction in the following alternative way.

### Proposition 1.1 (Feasible Parameters of Strongly Regular Graphs)

Let  $G$  be a strongly regular graph with parameters  $\text{srg}(n, d, \lambda, \mu)$ . Then,

- 1  $(n - d - 1) \mu = d(d - \lambda - 1)$ .
- 2  $\frac{2d + (n-1)(\lambda - \mu)}{\sqrt{(\lambda - \mu)^2 + 4(d - \mu)}}$  is an integer whose absolute value is less than  $n - 1$ .
- 3  $6 \mid (nd\lambda)$ .

## A Second Alternative Proof of Theorem 1.3

From the point where we get, by contradiction, that  $G$  is  $\text{srg}(n, k, 1, 1)$ , it is possible to get a contradiction in the following alternative way.

### Proposition 1.1 (Feasible Parameters of Strongly Regular Graphs)

Let  $G$  be a strongly regular graph with parameters  $\text{srg}(n, d, \lambda, \mu)$ . Then,

- 1  $(n - d - 1)\mu = d(d - \lambda - 1)$ .
- 2  $\frac{2d + (n-1)(\lambda - \mu)}{\sqrt{(\lambda - \mu)^2 + 4(d - \mu)}}$  is an integer whose absolute value is less than  $n - 1$ .
- 3  $6 \mid (nd\lambda)$ .

### Proof

- Condition 1 is a combinatorial equality for strongly regular graphs.
- Condition 2 holds by the integrality of the multiplicities of the second-largest and least eigenvalues of the adjacency matrix.
- Condition 3 holds by the number of triangles in the graph  $G$ .

## A Second Alternative Proof of Theorem 1.3 (Cont.)

- By Item 1 in Proposition 1.1 with  $d = k$  and  $\lambda = \mu = 1$ , we get  $n = k^2 - k + 1$ . This does not lead to a contradiction since summing over all the degrees of the neighbors of an arbitrary vertex  $u$  gives  $k^2$ . Then, by the assumption of the theorem that every two vertices have exactly one common neighbor, it follows that the above summation counts every vertex in  $G$  exactly one time, except of  $u$  that is counted  $k$  times. Hence, indeed  $n = k^2 - k + 1$ .

## A Second Alternative Proof of Theorem 1.3 (Cont.)

- By Item 1 in Proposition 1.1 with  $d = k$  and  $\lambda = \mu = 1$ , we get  $n = k^2 - k + 1$ . This does not lead to a contradiction since summing over all the degrees of the neighbors of an arbitrary vertex  $u$  gives  $k^2$ . Then, by the assumption of the theorem that every two vertices have exactly one common neighbor, it follows that the above summation counts every vertex in  $G$  exactly one time, except of  $u$  that is counted  $k$  times. Hence, indeed  $n = k^2 - k + 1$ .
- By Item 2 in Proposition 1.1 with  $d = k$  and  $\lambda = \mu = 1$ , we get that  $\frac{k}{\sqrt{k-1}} \in \mathbb{N}$ . Consequently,  $(k-1) | k^2 \in \mathbb{N}$ . Since  $k^2 = (k-1)(k+1) + 1$ , it follows that  $(k-1) | 1$ , so  $k = 2$ . If  $k = 2$ , the only graph that satisfies the condition of Theorem 1.3 is  $G = K_2$ , which also satisfies the assertion of the theorem. Hence, this argument contradicts the assumption in the proof since it led to the conclusion that  $G$  is  $\text{srg}(n, k, 1, 1)$ .

The sandwich theorem for the Lovász  $\vartheta$ -function applied to strongly regular graphs gives the following result.

### Corollary 1.4 (Bounds on Parameters of SRGs)

Let  $G$  be a strongly regular graph with parameters  $\text{srg}(n, d, \lambda, \mu)$ . Then,

$$\alpha(G) \leq \left\lfloor \frac{n(t + \mu - \lambda)}{2d + t + \mu - \lambda} \right\rfloor \quad (1.12)$$

$$\omega(G) \leq 1 + \left\lfloor \frac{2d}{t + \mu - \lambda} \right\rfloor, \quad (1.13)$$

$$\chi(G) \geq 1 + \left\lceil \frac{2d}{t + \mu - \lambda} \right\rceil, \quad (1.14)$$

$$\chi(\overline{G}) \geq \left\lceil \frac{n(t + \mu - \lambda)}{2d + t + \mu - \lambda} \right\rceil, \quad (1.15)$$

with

$$t \triangleq \sqrt{(\mu - \lambda)^2 + 4(d - \mu)}. \quad (1.16)$$

## Examples: Bounds on Parameters of SRGs

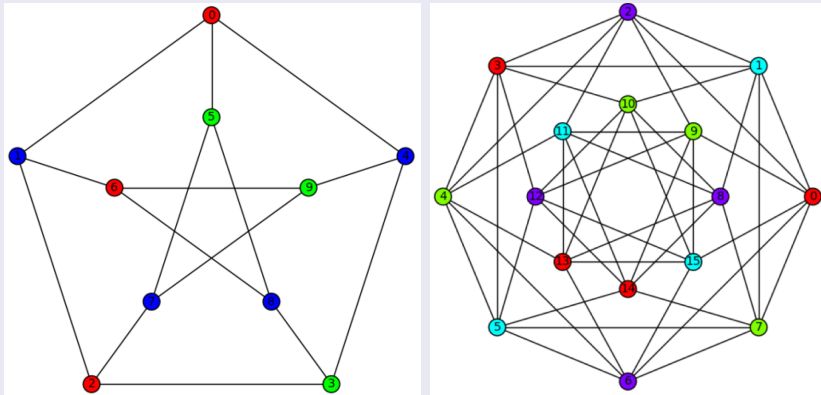


Figure 3: The Petersen graph is  $\text{srg}(10, 3, 0, 1)$  (left), and the Shrikhande graph is  $\text{srg}(16, 6, 2, 2)$  (right). Their chromatic numbers are 3 and 4, respectively.

# Schläfli Graph

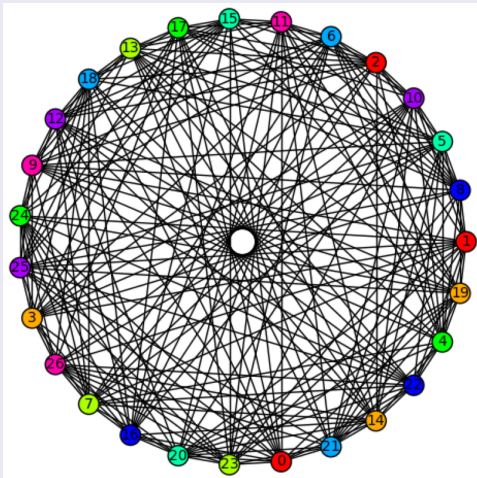


Figure 4: Schläfli graph is  $\text{srg}(27, 16, 10, 8)$  with chromatic number  $\chi(G) = 9$ .

## Examples: Bounds on Parameters of SRGs (Cont.)

- ① Let  $G_1$  be the Petersen graph. Then, the bounds on the independence, clique, and chromatic numbers of  $G$  are tight:

$$\alpha(G_1) = 4, \quad \omega(G_1) = 2, \quad \chi(G_1) = 3. \quad (1.17)$$

- ② The bounds on the chromatic numbers of the Schläfli graph ( $G_2$ ), Shrikhande graph ( $G_3$ ) and Hall-Janko graph ( $G_4$ ) are tight:

$$\chi(G_2) = 9, \quad \chi(G_3) = 4, \quad \chi(G_4) = 10. \quad (1.18)$$

- ③ For the Shrikhande graph ( $G_3$ ),
- ▶ the bound on its independence number is also tight:  $\alpha(G_3) = 4$ ,
  - ▶ its upper bound on its clique number is, however, not tight (it is equal to 4, and  $\omega(G_3) = 3$ ).



## Strong Product of Graphs

Let  $G$  and  $H$  be two graphs. The **strong product**  $G \boxtimes H$  is a graph with

- vertex set:  $V(G \boxtimes H) = V(G) \times V(H)$ ,
- two distinct vertices  $(g, h)$  and  $(g', h')$  in  $G \boxtimes H$  are adjacent if the following two conditions hold:
  - ①  $g = g'$  or  $\{g, g'\} \in E(G)$ ,
  - ②  $h = h'$  or  $\{h, h'\} \in E(H)$ .

Strong products are commutative and associative.

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Strong products are commutative and associative.

## Strong Powers of Graphs

Let

$$G^{\boxtimes k} \triangleq \underbrace{G \boxtimes \dots \boxtimes G}_G, \quad k \in \mathbb{N} \quad (1.19)$$

G appears  $k$  times

denote the  **$k$ -fold strong power of a graph**  $G$ .

## Shannon Capacity of a Graph (1956)

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$$\begin{aligned}\Theta(G) &= \sup_{k \in \mathbb{N}} \sqrt[k]{\alpha(G^{\boxtimes k})} \\ &= \lim_{k \rightarrow \infty} \sqrt[k]{\alpha(G^{\boxtimes k})}.\end{aligned}\tag{2.1}$$

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- The last equality holds by Fekete's Lemma since the sequence  $\{\log \alpha(G^{\boxtimes k})\}_{k=1}^{\infty}$  is super-additive, i.e.,

$$\alpha(G^{\boxtimes (k_1+k_2)}) \geq \alpha(G^{\boxtimes k_1}) \alpha(G^{\boxtimes k_2}).\tag{2.2}$$

## On the Computability of the Shannon Capacity of Graphs

- The Shannon capacity of a graph can be rarely computed exactly. 😊
- However, the Lovász  $\vartheta$ -function of a graph is a computable (and sometimes tight) upper bound on the Shannon capacity. 😊

## Lovász Bound on the Shannon Capacity of Graphs (1979)

Theorem: For every finite, simple and undirected graph  $G$ ,

$$\Theta(G) \leq \vartheta(G). \quad (2.3)$$

## Capacity of Graphs

**Proposition:** Let  $G$  be a finite, undirected, and simple graph. If  $\alpha(G^{\boxtimes \ell}) = \vartheta(G)^\ell$  for some  $\ell \in \mathbb{N}$ , then

$$\Theta(G) = \vartheta(G), \quad \forall k \in \mathbb{N}. \quad (2.4)$$

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**Corollary 1:** If  $\alpha(G) = \vartheta(G)$ , then for all  $k \in \mathbb{N}$ , the  $k$ -fold strong power of  $G$  satisfies

$$\alpha(G)^k = \alpha(G^{\boxtimes k}) = \Theta(G^{\boxtimes k}) = \vartheta(G^{\boxtimes k}) = \vartheta(G)^k, \quad \forall k \in \mathbb{N}. \quad (2.5)$$



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By Corollary 1 and our closed expression for the Lovász  $\vartheta$ -function of strongly regular graphs, the Shannon capacity of some strongly regular graphs can be determined.

## Shannon Capacities of Some Strongly Regular Graphs

- ① The Hall-Janko graph  $G$  is  $\text{srg}(100, 36, 14, 12)$ , and  $\Theta(G) = 10$ .
- ② The Hoffman-Singleton graph  $G$  is  $\text{srg}(50, 7, 0, 1)$ , and  $\Theta(G) = 15$ .
- ③ The Janko-Kharaghani graphs of orders 936 and 1800 are  $\text{srg}(936, 375, 150, 150)$  and  $\text{srg}(1800, 1029, 588, 588)$ , respectively. The capacity of both graphs is 36.
- ④ Janko-Kharaghani-Tonchev:  $G = \text{srg}(324, 153, 72, 72)$ ,  $\Theta(G) = 18$ .
- ⑤ The graphs introduced by Makhnev are  $G = \text{srg}(64, 18, 2, 6)$  and  $\overline{G} = \text{srg}(64, 45, 32, 30)$ . Capacities:  $\Theta(G) = 16$ , and  $\Theta(\overline{G}) = 4$ .
- ⑥ The Mathon-Rosa graph  $G$  is  $\text{srg}(280, 117, 44, 52)$ , and  $\Theta(G) = 28$ .
- ⑦ The Schläfli graph  $G$  is  $\text{srg}(27, 16, 10, 8)$ , and  $\Theta(G) = 3$ .
- ⑧ The Shrikhande graph is  $\text{srg}(16, 6, 2, 2)$ ; its capacity is  $\Theta(G) = 4$ .
- ⑨ The Sims-Gewirtz graph  $G$  is  $\text{srg}(56, 10, 0, 2)$ , and  $\Theta(G) = 16$ .
- ⑩ The graph  $G$  by Tonchev is  $\text{srg}(220, 84, 38, 28)$ , and  $\Theta(G) = 10$ .

In some cases, the Shannon capacity of a graph can be calculated exactly, and the Lovász  $\vartheta$ -function is a tight bound. 😊

### Theorem 2.1 (Self-complementary vertex-transitive graphs, Lovász 79)

Let  $G$  be an undirected and simple graph on  $n$  vertices.

- ① If  $G$  is a vertex-transitive graph on  $n$  vertices, then

$$\alpha(G \boxtimes \bar{G}) = \Theta(G \boxtimes \bar{G}) = \vartheta(G \boxtimes \bar{G}) = n. \quad (2.6)$$

- ② If  $G$  is a self-complementary and vertex-transitive graph on  $n$  vertices, then

$$\Theta(G) = \sqrt{n} = \vartheta(G). \quad (2.7)$$

## Theorem 2.2 (Strengthened and Refined Ver. of Thm. 2.1 (I.S., '24))

Let  $G$  be an undirected and simple graph on  $n$  vertices.

- 1 If  $G$  is a vertex-transitive or strongly regular graph, then

$$\alpha(G \boxtimes \bar{G}) = \Theta(G \boxtimes \bar{G}) = \vartheta(G \boxtimes \bar{G}) = n. \quad (2.8)$$

- 2 If  $G$  is a conference graph, then  $\vartheta(G) = \sqrt{n}$ .

- 3 If  $G$  is a self-complementary graph with  $\alpha(G) = k$ , then

$$\sqrt{n} \leq \Theta(G) \leq 16 n^{\frac{k-1}{k+1}}. \quad (2.9)$$

- 4 If  $G$  is a self-complementary graph that is vertex-transitive or strongly regular, then

$$\Theta(G) = \sqrt{n} = \vartheta(G), \quad (2.10)$$

$$\sqrt{\alpha(G \boxtimes G)} = \Theta(G). \quad (2.11)$$

Hence, the minimum Shannon capacity among all self-complementary graphs of a fixed order  $n$  is achieved by those that are vertex-transitive or strongly regular, and this minimum is equal to  $\sqrt{n}$ .

## Summary (I.S., '23)

- Upper and lower bounds on the Lovász- $\vartheta$  function of regular graphs.
- These spectral bound depend on the second-largest and smallest eigenvalues of the adjacency matrix.
- The upper bound is due to Lovász, followed by a new sufficient condition for its tightness, and the lower bound is new.
- These bounds are tight  $\iff$  the graph is strongly regular (SRG).
- Useful in bounding graph invariants, including the Shannon capacity.

## Summary (I.S., '24)

Our follow-up published work (AIMS-Mathematics, 2024) delves into three research directions, leveraging the Lovász  $\vartheta$ -function of graphs.

- It provides new results on the Shannon capacity of graphs, including the determination of that capacity for two infinite subclasses of SRGs.
- For every even integer  $n \geq 14$ , it is constructively proven that there exist connected, irregular, cospectral, and nonisomorphic graphs on  $n$  vertices such that the following holds:
  - ▶ Cospectrality with respect to the adjacency, Laplacian, signless Laplacian, and normalized Laplacian matrices,
  - ▶ They share identical independence, clique, and chromatic numbers,
  - ▶ Their Lovász  $\vartheta$ -functions are distinct.
- A query regarding the variant of the  $\vartheta$ -function by Schrijver and the identical function by McEliece *et al.* (1978) is resolved.
- It is shown, by a counterexample, that the  $\vartheta$ -function variant by Schrijver does not possess the property of the Lovász  $\vartheta$ -function of forming an upper bound on the Shannon capacity of a graph.

## Recent Journal Papers

This talk presents in part the following recent journal papers:

- 1 I. Sason, “Observations on the Lovász  $\vartheta$ -function, graph capacity, eigenvalues, and strong products,” *Entropy*, vol. 25, no. 1, paper 104, pp. 1–40, January 2023. <https://doi.org/10.3390/e25010104>
- 2 I. Sason, “Observations on graph invariants with the Lovász  $\vartheta$ -function,” *AIMS Mathematics*, vol. 9, pp. 15385–15468, April 2024. <https://www.aimspress.com/article/doi/10.3934/math.2024747>
- 3 I. Sason, “On strongly regular graphs and the friendship theorem,” submitted, February 2025. <https://arxiv.org/abs/2502.13596>